

# EAST Search History

L24	50	(US-20040230512-\$ or US-20050283417-\$ or US-20040073507-\$ or US-20060206404-\$ or US-20030004853-\$ or US-20050021447-\$ or US-20020046067-\$ or US-20030225628-\$ or US-20070130053-\$ or US-20050160032-\$ or US-20040215467-\$ or US-20060041501-\$ or US-20060282367-\$ or US-20070005481-\$ or US-20070118463-\$ or US-20020042770-\$ or US-20040133503-\$ or US-20040039685-\$ or US-20020156719-\$ or US-20050197857-\$ or US-20070083457-\$ or US-20030167224-\$ or US-20060085321-\$ or US-20070118465-\$ or US-20020169711-\$ or US-20070112693-\$).did. or (US-20010032162-\$ or US-20020128952-\$ or US-20020198774-\$ or US-20050240506-\$ or US-20040254804-\$ or US-20070118464-\$ or US-20060149669-\$).did. or (US-6112185-\$ or US-6012046-\$ or US-6963856-\$ or US-7136833-\$ or US-6778968-\$ or US-7107240-\$ or US-7162447-\$ or US-6098051-\$ or US-6505175-\$ or US-5905974-\$ or US-5845266-\$ or US-6629082-\$ or US-7024376-\$ or US-4412287-\$ or US-5689652-\$ or US-5950177-\$ or US-6272474-\$).did.	US-PGPUB; USPAT	OR	OFF
L25		(US-20020133445-\$).did. or (US-6629082-\$).did.	US-PGPUB; USPAT	OR	OFF
L26	50	(US-20040230512-\$ or US-20050283417-\$ or US-20040073507-\$ or US-20060206404-\$ or US-20030004853-\$ or US-20050021447-\$ or US-20020046067-\$ or US-20030225628-\$ or US-20070130053-\$ or US-20050160032-\$ or US-20040215467-\$ or US-20060041501-\$ or US-20060282367-\$ or US-20070005481-\$ or US-20070118463-\$ or US-20020042770-\$ or US-20040133503-\$ or US-20040039685-\$ or US-20020156719-\$ or US-20050197857-\$ or US-20070083457-\$ or US-20030167224-\$ or US-20060085321-\$ or US-20070118465-\$ or US-20020169711-\$ or US-20070112693-\$).did. or (US-20010032162-\$ or US-20020128952-\$ or US-20020198774-\$ or US-20050240506-\$ or US-20040254804-\$ or US-20070118464-\$ or US-20060149669-\$).did. or (US-6112185-\$ or US-6012046-\$ or US-6963856-\$ or US-7136833-\$ or US-6778968-\$ or US-7107240-\$ or US-7162447-\$ or US-6098051-\$ or US-6505175-\$ or US-5905974-\$ or US-5845266-\$ or US-6629082-\$ or US-7024376-\$ or US-4412287-\$ or US-5689652-\$ or US-5950177-\$ or US-6272474-\$).did.	US-PGPUB; USPAT	OR	OFF
L27	8	("20010034692").PN. OR ("6629082").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF

*Handwritten signature/initials*

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals
L1	138	qualified adj (investor purchaser buyer participant)	US-PGPUB; USPAT	OR	OFF
L2	1	mccredmond and abokhair	US-PGPUB; USPAT	OR	OFF
L3	1342	ipo (initial adj public adj offering)	US-PGPUB; USPAT	OR	OFF
L4	104	I3 and (qualified accredited)	US-PGPUB; USPAT	OR	OFF
L5	25830	(float\$ issu\$ sell\$ distribut\$) near3 (stock issue security share equity)	US-PGPUB; USPAT	OR	OFF
L6	176	I3 and I5	US-PGPUB; USPAT	OR	OFF
L7	1	broker\$ near3 (ipo (initial adj public adj offering))	US-PGPUB; USPAT	OR	OFF
L8	33	broker\$ same (ipo (initial adj public adj offering))	US-PGPUB; USPAT	OR	OFF
L9	5	broker\$ same (qualified adj (investor purchaser buyer participant))	US-PGPUB; USPAT	OR	OFF
L10	191	(stock equity) adj offer\$	US-PGPUB; USPAT	OR	OFF
L11	142	qualified adj (investor purchaser buyer participant)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	OFF
L12	8552	ipo (initial adj public adj offering)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	OFF
L13	115	I12 and (qualified accredited)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	OFF
L14	5938	(float\$ issu\$ sell\$ distribut\$) near3 (stock issue security share equity)	EPO; JPO; DERWENT	OR	OFF
L15	1	I12 and I14	EPO; JPO; DERWENT	OR	OFF
L16	0	broker\$ near3 (ipo (initial adj public adj offering))	EPO; JPO; DERWENT	OR	OFF
L17	0	broker\$ same (ipo (initial adj public adj offering))	EPO; JPO; DERWENT	OR	OFF
L18	0	broker\$ same (qualified adj (investor purchaser buyer participant))	EPO; JPO; DERWENT	OR	OFF
L19	30	(stock equity) adj offer\$	EPO; JPO; DERWENT	OR	OFF
L20	2963	705/35.ccls.	US-PGPUB; USPAT	OR	OFF
L21	0	705/36.ccls.	US-PGPUB; USPAT	OR	OFF
L22	1450	705/36r.ccls.	US-PGPUB; USPAT	OR	OFF
L23	3165	705/37.ccls.	US-PGPUB; USPAT	OR	OFF

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File 347:JAPIO Oct 1976-2 2/Aug(Updated 021203)

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File 348:EUROPEAN PATENTS 1978-2002/Dec W02

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File 349:PCT FULLTEXT 1979-2002/UB=20021212,UT=20021205

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File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280

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Set	Items	Description
S1	0	AU='HAMBRECHT W'
S2	9	AU='HANSSON O' OR AU='HANSSON OTHAR'
S3	48	AU='HAYES J'
S4	2	AU='HAYES JORDAN M'
S5	91	AU='KATZ A':AU='KATZ A Y' OR AU='KATZ ALAN HOWARD':AU='KATZ ALAN J'
S6	3	AU='OCHERET':AU='OCHERET CHARLES A'
S7	55	AU='REGAN M':AU='REGAN M T' OR AU='REGAN MATTHEW JAMES PAT-RICK'
S8	1	(S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7) AND AUCTION? AND - (INVEST? OR IPO OR BROKER?)

File 347:JAPIO Oct 1976-2. 2/Aug(Updated 021203)

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File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280

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Set	Items	Description
S1	2216	AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR ((BID OR BIDD?) (5N) OFFER?) OR EBAY OR E()BAY OR REQUEST(3W- )QUOTE? OR RFQ
S2	194964	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	6	INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OR (FIR- ST OR 1ST OR START?) (5N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR E- QUITY OR EQUITIES))
S4	39063	(RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OR LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL?) (2N) (USE OR USI- NG OR USAGE OR USABILITY OR ACCESS? OR ENTRANCE OR ENTRY OR U- TILIZ?)
S5	546	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S6	296165	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W)BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)
S7	532	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S8	0	S1 AND S2 AND (S4 OR S5) AND S6 AND S7
S9	0	S1 AND S2 AND (S4 OR S5) AND S6
S10	27	S1 AND S2 AND (S4 OR S5)

File 347:JAPIO Oct 1976-2 2/Aug(Updated 021203)

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File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280

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Set	Items	Description
S1	2216	AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR ((BID OR BIDD?) (5N) OFFER?) OR EBAY OR E() BAY OR REQUEST(3W- ) QUOTE? OR RFQ
S2	194964	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	7	INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL(1W) STOCK() CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES))
S4	546	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S5	296165	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)
S6	532	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S7	3	S1 AND S3

File 348:EUROPEAN PATENTS 1978-2002/Dec W02

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File 349:PCT FULLTEXT 1979-2002/UB=20021212,UT=20021205

(c) 2002 WIPO/Univentio

Set	Items	Description
S1	2873	AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR ((BID OR BIDD?) (5N) OFFER?) OR EBAY OR E() BAY OR REQUEST(3W- ) QUOTE? OR RFQ
S2	146621	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	90	INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL(1W) STOCK() CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES))
S4	2654	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S5	628053	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)
S6	2609	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S7	31	S1 AND S2 AND S3 AND (S4 OR S5 OR S6)
S8	1	(S1(10N) S3) AND S5 NOT S7
S9	1	S3 AND (S4(5N) S5) NOT (S7 OR S8)
S10	22	S3 NOT (S7 OR S8 OR S9) AND IC=G06F-017/60

Set	Items	Description
S1	668	AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR ((BID OR BIDD?) (5N) OFFER?) OR EBAY OR E() BAY OR REQUEST(3W- ) QUOTE? OR RFQ
S2	44059	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	130	INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL(1W) STOCK() CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES))
S4	82	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S5	2441	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)
S6	163	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S7	0	S1 AND S2 AND S3 AND (S4 OR S5 OR S6)
S8	0	S1 AND S3 AND (S4 OR S5 OR S6)
S9	1	S1 AND S3
S10	5	S3 AND (S4 OR S5 OR S6)

File 15:ABI/Inform(R) 19 2002/Dec 13  
(c) 2002 ProQuest Info&Learning  
File 9:Business & Industry(R) Jul/1994-2002/Dec 12  
(c) 2002 Resp. DB Svcs.  
File 610:Business Wire 1999-2002/Dec 13  
(c) 2002 Business Wire.  
File 810:Business Wire 1986-1999/Feb 28  
(c) 1999 Business Wire  
File 275:Gale Group Computer DB(TM) 1983-2002/Dec 13  
(c) 2002 The Gale Group  
File 476:Financial Times Fulltext 1982-2002/Dec 13  
(c) 2002 Financial Times Ltd  
File 624:McGraw-Hill Publications 1985-2002/Dec 13  
(c) 2002 McGraw-Hill Co. Inc  
File 636:Gale Group Newsletter DB(TM) 1987-2002/Dec 13  
(c) 2002 The Gale Group  
File 621:Gale Group New Prod.Annou.(R) 1985-2002/Dec 12  
(c) 2002 The Gale Group  
File 613:PR Newswire 1999-2002/Dec 13  
(c) 2002 PR Newswire Association Inc  
File 813:PR Newswire 1987-1999/Apr 30  
(c) 1999 PR Newswire Association Inc  
File 16:Gale Group PROMT(R) 1990-2002/Dec 13  
(c) 2002 The Gale Group  
File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 634:San Jose Mercury Jun 1985-2002/Dec 12  
(c) 2002 San Jose Mercury News  
File 148:Gale Group Trade & Industry DB 1976-2002/Dec 12  
(c)2002 The Gale Group  
File 20:Dialog Global Reporter 1997-2002/Dec 13  
(c) 2002 The Dialog Corp.  
File 625:American Banker Publications 1981-2002/Dec 13  
(c) 2002 American Banker  
File 268:Banking Info Source 1981-2002/Dec W2  
(c) 2002 ProQuest Info&Learning  
File 626:Bond Buyer Full Text 1981-2002/Dec 13  
(c) 2002 Bond Buyer  
File 267:Finance & Banking Newsletters 2002/Dec 09  
(c) 2002 The Dialog Corp.

Set	Items	Description
S1	155009	(AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR BID OR BIDD?) (3N) (ONLINE OR INTERNET OR WWW OR WEB OR CYB- ER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?) OR EBAY OR E()B- AY
S2	488806	INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR (F- IRST OR 1ST OR INITIAL?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? - OR EQUITY OR EQUITIES))
S3	403738	(RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OR LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL? OR EXCLUSIV?) (2- N) (USE OR USING OR USAGE OR USABILITY OR ACCESS? OR ENTRANCE - OR ENTRY OR UTILIZ?)
S4	457620	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S5	2843	S4(5N) (SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STA- ND OR FILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) B- ETWEEN OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN))
S6	5	S1 AND S2 AND S5
S7	176	S1 AND S2 AND S3 NOT S6
S8	28	((S1(S)S2) AND S3) NOT S6
S9	9	S8 NOT PY>1999



S11	1	S1 AND S2 .ND (S3(S)S4) NOT (S6 OR S8)
S12	29	(S1 AND S2 AND S3 AND S4) NOT (S6 OR S8 OR S11)
S13	7	S12 NOT PY>1999
S14	234415	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S15	713	S14(5N) (SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (ST- AND OR FILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) - BETWEEN OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN))
S16	0	S1 AND S2 AND S15 NOT (S6 OR S8 OR S11 OR S12)
S17	14	S2 AND S15 NOT (S6 OR S8 OR S11 OR S12)
S18	10	S17 NOT PY>1999
S19	9	RD (unique items)